Time-dependent electron phenomena at surfaces

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Femtosecond and subfemtosecond time scales typically rule electron dynamics at metal surfaces. Recent advance in experimental techniques permits now remarkable precision in the description of these processes. In particular, shorter time scales, smaller system sizes, and spin-dependent effects are current targets of interest. In this article, we use state-of-the-art theoretical methods to analyze these refined features of electron dynamics. We show that the screening of localized charges at metal surfaces is created locally in the attosecond time scale, while collective excitations transfer the perturbation to larger distances in longer time scales. We predict that the elastic width of the resonance in excited alkali adsorbates on ferromagnetic surfaces can depend on spin orientation in a counterintuitive way. Finally, we quantitatively evaluate the electron–electron and electron–phonon contributions to the electronic excited states widths in ultrathin metal layers. We conclude that confinement and spin effects are key factors in the behavior of electron dynamics at metal surfaces.

Chemistry is driven by electrons. Chemical dynamics is often determined by electron dynamics, with electronically excited states frequently acting as necessary intermediate steps in chemical activity. The understanding and control of charge transfer processes at surfaces.

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Time Scale of Localized Hole Screening at Surfaces

Screening is a complex many-body process that substantially reduces the effective interaction range of the positive charge left behind after photoemission of an electron. Screening requires rearrangement of the electronic density in the vicinity of the hole. In semiconductors, the low density of carriers leads to a relatively slow response to an external charge (of the order of a few hundred femtoseconds), and the evolution of the screening process can be directly monitored in time (23). Quantum kinetic theory (24) can describe the nonequilibrium dynamics of the Coulomb interaction in this time scale. In metals, however, the rearrangement of electronic charge making the screening takes place in shorter times—namely, in the attosecond scale. For low kinetic energies of the photoemitted electron, this time scale can be similar to the one required for the electron to leave the surface. Both processes are thus entangled. For high kinetic energies, the photoemitted electron is already far from the surface before the hole screening is built up: The two processes can then be considered as roughly independent. In order to obtain further insight into such a situation, a more precise knowledge of the screening time at metal surfaces is required. This is what we address here, restricting ourselves to a simplified case, that of the screening of a bare positive charge suddenly created in front of a metal surface. So far, existing theoretical studies of time-dependent Coulomb screening have used a free electron jellium-like description to describe metallic media, either in first-order perturbation theory (25) or in nonperturbative treatments (26). Dimensionality effects in the screening have been addressed as well (27, 28). These works showed that, in a medium in which the electrons are free to move, the screening of a suddenly created charge is built locally in the subfemtosecond scale. At the very first steps of the screening process, a universal scaling law ($\omega = \frac{q}{\sqrt{\tau}}$) rules the time evolution of the system. Here $\omega$ is the electron density parameter that depends on the electronic density $n_e$, through $1/n_e = 4\pi^2\sqrt{q}/3$. For longer times, the key magnitude is the plasma frequency $\omega_p$, and a different scaling time $\tau_{0p}$ is found (26). These longer-time oscillations are relevant for the presymptomatic decay and dephasing of quasiparticles for some surface and image potential states (29).

However, the role of the surface band structure in the establishment of local screening has not been addressed yet. In particular, numerous metal surfaces contain surface electronic states with wave functions strongly localized at the surface. These surface states can often form a sort of 2D electron gas system. In addition to the well-known surface plasmons, new collective electronic excitations, known as acoustic surface plasmons, can arise (30, 31). In these metal surfaces, carriers residing in two electron systems (the 2D surface state and the 3D bulk states) do conjoin participate in the screening process. A well-known example of surfaces in which the 2D and 3D electronic systems coexist is the (111) surface of noble metals like Cu, Ag, and Au, with a partially occupied electron band of the $s-p_z$ surface state at the surface Brillouin zone (BZ) center $\mathbf{T}$. These states are frequently considered as forming the quasi 2D surface-state band with the Fermi energy $E_{FS}$ equal to the surface-state binding energy at the $\mathbf{T}$ point.

In the following, we analyze how the joint response of these two electronic systems of different dimensionality affects the short-time dynamics of screening. We consider a single positive charge $e$ suddenly created near a metal surface. We perform calculations within the framework of linear response theory. Linear response theory underestimates the induced charge density in the close vicinity of the charge but reproduces quite well the time scale of the screening process as well as the induced electronic charge at longer distances (25–28).

The charge $e$ is placed at time $t = 0$ at a position $\mathbf{r}_0 = (0, 0, z_0)$ in front of a Cu(111) surface. In linear response theory, the electronic charge $n_{\text{ind}}(\mathbf{r}, \omega)$ induced by such Coulomb potential is:

$$n_{\text{ind}}(\mathbf{r}, \omega) = \frac{1}{2\pi} \int_0^\infty dq q J_0(q_R) n_{\text{ind}}(q_{\parallel}, \omega),$$

where $\mathbf{r} = (\mathbf{R}, z)$, $R$ is a cylindrical coordinate in the plane parallel to the surface, measured from an axis that goes through the charge. The coordinate $z$ is that normal to the surface. $J_0$ is the zeroth Bessel function and $q_R$ is the modulus of the 2D momentum $q_{\parallel}$ in the plane parallel to the surface. The function $\chi$ is the density response function of the Cu(111) surface.

The Cu(111) surface is modeled using a 21-layer slab. We calculate $\chi$ numerically in the random phase approximation. Our procedure is similar to that of ref. 30 and is only briefly summarized here. One-electron states necessary to build the noninteracting response $\chi_0$, a previous step in the calculation of $\chi$, are obtained from the solution of a 1D Schrödinger equation for an adequate Cu(111) effective potential (32).

In order to facilitate the discussion on the complex electron excitation spectrum of the Cu(111) surface, we define the surface loss function $g(q_{\parallel}, \omega)$ as:

$$g(q_{\parallel}, \omega) = -\frac{2\pi}{q_{\parallel}} \int_0^\infty dz \int dz' e^{-q_{\parallel}(z-z')} \chi(q_{\parallel}, z, z').$$

The imaginary part of the function $g(q_{\parallel}, \omega)$ contains information on the rate at which a frequency-dependent external potential generates electron-hole and collective electronic excitations at surfaces. In Fig. 1, we show a normalized 2D plot of $\text{Im}(g(q_{\parallel}, \omega))$ as a function of $q_{\parallel}$ and $\omega$. A dominant peak $\omega_{\text{SP}}$ appears, corresponding to the conventional surface plasmon in the 8–12 eV energy range. For $q_{\parallel} < 0.03$ a.u., this peak splits into two separate ones, corresponding to the symmetrical $\omega_{\text{SP}}$ and antisymmetrical $\omega_{\text{ASP}}$ modes. This is a well-known effect for a finite thickness slab (33). An involved structure is conspicuous in the low energy range of the spectrum. For momenta $q_{\parallel} > 0.07$ a.u., there are intraband electron-hole transitions within the surface-state bands (34). For smaller momenta, the acoustic surface plasmon $\omega_{\text{ASP}}$ (30) is clearly seen.

In Fig. 2, we show 2D plots of the induced electronic density $R \times n_{\text{ind}}(\mathbf{R}, t)$ for different values of the time variable $t$, when the charge $e$ is created at $t = 0$ at a distance $Z = 1.97$ a.u. from the topmost Cu atomic layer. [This distance corresponds to the distance between atomic planes along the (111) direction.] Fig. 2

![Fig. 1. Two-dimensional plot of the normalized surface loss function Im$[g(q_{\parallel}, \omega)]$ for the 21 ML Cu(111) slab versus the 2D momentum $q_{\parallel}$ and energy $\omega$. Peaks corresponding to the dominating surface plasmon $\omega_{\text{SP}}$, to its split symmetrical $\omega_{\text{ASP}}$, and antisymmetrical $\omega_{\text{ASP}}$ modes at small $q_{\parallel}$s, and to the acoustic surface plasmon $\omega_{\text{ASP}}$ are denoted by the corresponding symbols.](image-url)
clearly shows that the screening hole around the charge is fully developed within a few a.u. (i.e., in the attosecond time scale; 1 a.u. = 24.2 as). In this initial regime, the electron density rearrangement is not able to resolve the finite size of the slab, and the screening process in the vicinity of the charge is representative of the seminfinite system. A shock wave forms and propagates in both perpendicular and lateral directions, due to electron-hole pair excitations. This is similar to the propagation in bulk systems. During this initial regime, the electronic propagation is quite isotropic. Strong effects reflecting the band structure of the system (and, in particular, the projected band gap around \( \Gamma \)) only appear at later times. After reaching the lower surface of the slab, the shock wave propagation becomes similar to that in 2D systems.

Concerning the role of the different collective excitation modes in the screening, at \( t \leq 150 \) a.u. we observe ultrafast charge waves propagating along the slab surfaces due to excitation of the surface plasmon modes. At \( t \geq 200 \) a.u. it is also possible to resolve at the surface the soliton-like waves corresponding to the excitation of an acoustic surface plasmon. They propagate along the surface with velocity close to the group velocity of the acoustic surface plasmon. The formation of this soliton-like charge wave is related to the quasi-linear dispersion of the ASP mode.

The screening of charged particles in electronic media is therefore a local phenomenon in the subfemtosecond time scale, but collective excitations help to transfer electronic oscillations of charge to larger distances at longer time scales.

**Spin-Selective Ultrafast Transfer of Electrons**

Electron dynamics in the femtosecond scale are commonly analyzed by means of laser pump-probe techniques. Going beyond this ultrafast regime, under the same experimental scenario, would require attosecond laser pulses. Although attosecond pulses have been fully characterized, they must be composed of short wavelengths and can only be realized in the extreme ultraviolet and X-ray regimes (35). In order to monitor the dynamics of electron transfer at other frequencies in the attosecond time scale, alternative experimental approaches have been proposed. Core-hole spectroscopy has proven to be a successful one (36). Core-hole clock spectroscopy is based on using the core-hole lifetime of an adsorbate as an internal reference clock. The temporal evolution of the electronic process under scrutiny is then monitored in this new time frame (37).

Using Coster-Kronig autoionization processes as reference timescale, Föhlisch et al. measured elastic charge transfer times between adsorbed excited S atoms and Ru(0001) surfaces as fast as 320 as (36). Density functional theory (DFT) calculations, together with a recursive method to obtain the Green’s function of the surface, showed to be a remarkably reliable method to analyze such processes (38, 39). They predicted a peculiar dependence of the charge transfer times on the exciting light polarization that was experimentally confirmed (40). Besides the S/Ru (0001) system, Ar monolayers on Ru(0001) also have been theoretically studied (38), finding again values and trends for the charge-transfer times in good agreement with core-hole-clock experiments. This methodology was also used to calculate the elastic contribution to the total widths of quantum well states in alkali overlayers on Cu(111) (41), explaining the scanning tunneling spectroscopy (STS) spectra of such surfaces.

Here we use the same methodology to calculate the ultrafast charge transfer times between Cs atoms adsorbed at low coverage and the ferromagnetic Fe(110) surface. We consider that a localized hole is formed in the spin-orbit-split 5p level, and the exited electron is assumed to be well represented by the bound 6s resonance of the adsorbed Cs. Experimentally, photoexcitation with circularly polarized light permits such spin-selective excitation (42). We show that, as a consequence of the difference in the Fe(110) surface band structure between electrons with opposite spin orientations, the charge transfer is strongly spin-dependent. Due to the highest density of unoccupied \( d \) states with minority spin in the Fe(110) surface at the resonance energy position, one may naively think that the elastic electron transfer from the excited Cs 6s resonance to the surface would be much faster for excited electrons with this spin orientation. However, this is not always the case: Surprisingly, charge transfer times are lower for majority-spin excitations.

Extensive details on the theoretical basis and on the computational scheme can be found in ref. 39 and are only summarized here. The first step is the DFT calculation of a finite slab. Slab calculations are performed using a linear combination of localized atomic orbitals as a basis set with the SIESTA code (43, 44). This information is then combined with that obtained from a bulk calculation of the substrate material. The Hamiltonian of the seminfinite system is then built. The transfer-matrix method is used to compute the seminfinite system Green’s function.

In our particular case, the Fe slab contains seven metal layers plus a Cs atom adsorbed both on the upper and bottom surfaces. We use a \((4 \times 4)\) supercell to represent the surface, which is equivalent to consider a Cs coverage of 1/16 monolayers. The energy position and width of the Cs 6s resonance are theoretically obtained from the optimized projection of the Green’s function on a wavepacket localized at the adsorbate (39). We have checked that this position is barely dependent on whether we consider the resonance as unoccupied or we perform a constrained calculation, with the resonance populated and a core hole in the Cs 5p level. In both cases the resonance position is always between 2 and 2.5 eV above the Fermi level, and the difference between the position of the majority and minority spin resonances is always quite small.

Fig. 3 shows the calculated band structure of the Fe(110) surface for both spin orientations. The calculated position of the unoccupied Cs 6s resonance is shown as well. Side panels show the density of states (DOS) projected on the Fe surface atoms. Different symmetries for the electronic states are shown.
separately. The minority-spin DOS has a clear peak at 2 eV above the Fermi level, due to the d bands of Fe. This peak partially overlaps with the Cs 6s resonance. Above the Fermi level, the 4p DOS shows a similarly flat behavior for both spin orientations, although the absolute value near the Cs 6s resonance is slightly higher for majority spin.

An accurate estimate of the resonance width would require an integral over the bidimensional BZ of the supercell. In the following, however, we focus on the results calculated at the $\Gamma$ point of our (4 × 4) supercell, which, according to our calculations, are representative of the global behavior. Using $\Gamma$ in our supercell is equivalent to covering 16 k points in the surface BZ of the original Fe(110) unit cell. Despite the higher 3d DOS for the minority-spin channel at the Cs resonance energy, our calculations show that the width of the 6s resonance for majority spin is actually 135 meV, while the width for minority spin is only 81 meV. In other words and counterintuitively, the elastic transfer time for majority-spin excited electrons is appreciably shorter than that of electrons with minority spin.

A possible reason for that might have been an inefficient coupling of the Cs 6s-resonance with the Fe 3d states, due to the energy position of the resonance. The calculated resonance energy appears just at the tail of the 3d peak in the minority DOS. However, due to the use of DFT, inaccuracies in the position of the excited states can be expected. For this reason, we repeat the calculations progressively shifting down the Cs resonance (39) so that the Cs-3d/Fe coupling could be favored. We show in Fig. 4 the calculated elastic width of the Cs 6s resonance in Fe(110) for majority and minority spin orientations as a function of the resonance energy position. The majority spin width keeps being higher than the minority one for the full range of energies considered. As it crosses the minority 3d band, the minority Cs resonance develops certain asymmetry. The fitting to a Lorentzian function in order to extract the width becomes more involved in this case. For this reason, a nonmonotonous behavior in the calculated width as a function of the resonance energy is found, but the overall trend is still robust.

Fig. 4 reinforces our previous claim that, surprisingly, elastic electronic transfer from the excited Cs adsorbate to the Fe(110) surface is faster for electrons with spin orientation parallel to the majority band in Fe bulk. This is so even if the available unoccupied DOS at the surface is largely of minority character. The ultimate reason for that is a stronger coupling of the 6s resonance to the 3p states of the Fe surface, as compared to the coupling to the d states, due to orbital spatial extension. We stress that the 3p DOS is roughly 50% higher for majority spin than for minority spin at the resonance energy position. Magnetization of unoccupied states at the Fe(110) surface is known to vary the sign when moving away from the Fe surface layer to the vacuum (47), and these exponentially decaying wave functions are the ones driving the elastic transfer. Therefore, elastic transfer of electrons from excited alkali atoms to a ferromagnetic surface can be spin-selective in a controlled way, with width values depending on spin orientation. However, whether the charge transfer is faster for majority-spin or for minority-spin electrons will be system-dependent and determined by the particular coupling conditions between the resonance and the surface band structure.

**Confinement Effects in Electron Dynamics**

Aside from spin effects, confinement in a given direction of space can provide a different degree of freedom for the tuning of the lifetimes of electronic excited states (45, 46). In quantum well states (QWS) formed in overlayers of Na over Cu(111), for instance, the amount of alkali atom coverage on the metal surface leads to a shift of the QWS energy band. As a consequence of the shift, an appreciable change in the linewidth is found leading to an appreciable size-dependent lifetime (48). This conclusion, however, does not apply to other systems in the nanoscale. Recent calculations of electron lifetimes in metal nanoparticles showed that, for particle sizes up to a few nanometers, the lifetime does not depend much on size (49, 50). Still, the lifetime value is surprisingly different from the bulk limit. This fact can be explained in terms of the partial localization of the electron excitation in the vicinity of the surface (50).

Quasiparticle lifetimes in ultrathin metal films are not well understood, in part because of the complex electronic structure at the substrate-overlayer interface. Surface states and quantum-well-type states can hybridize and drastically change the electronic properties at the interface (51). Additional complication is created by electronic transport that results in shorter experimental lifetimes compared to those expected theoretically (52, 53).

Recently, a model overlayer system, namely Pb(111) overlayers on Si(111) and Cu(111), was studied by scanning tunneling (54) and two-photon photoemission (55) techniques. Theoretical calculations have also addressed these systems (54, 56). Experimentally, it was shown that the lowest electron excited state energy oscillates with the Pb film thickness, leading to strong oscillations in the electron–electron (e–e) (55) and...
In summary, we have presented new results that illustrate some research lines currently active in the general field of time-dependent electron phenomena at metal surfaces. First, we have shown that the screening of localized charges at surfaces is created locally in the attosecond time scale, while collective excitations that the elastic width of the resonant excited state can be determined by the strong interplay between charge, spin, and wave-function coupling parameter $\lambda$ for the lowest unoccupied state of free standing relaxed ultrathin Pb(111) films in the range of 3 to 10 atomic monolayers. As follows from the figure, all these quantities oscillate in phase with each other. Indeed, in good agreement with experimental findings, it can be shown that they oscillate in phase with the energy position of the lowest unoccupied QWS, as determined from calculations and time-resolved two-photon photoemission measurements (55). The behavior of both $\Gamma_{ee}$ and $\Gamma_{ep}$ as a function of the film thickness shows that it is possible to tailor the lifetime of electronic excitations by means of thin-film nanofabrication.

Another remarkable feature of the Pb overlayer systems is the Fermi-liquid behavior of the excited electrons starting from a single Pb monolayer (54, 55). This is corroborated by relativistic calculations of the e-e contribution for bulk Pb, especially for the parent band of the Pb(111) QWSs, the bulk band which crosses $E_F$ along the $\Gamma L$ direction. Even the inclusion of the e-ph contribution to the lifetime broadening of excited states at relatively low temperatures does not deviate the lifetime broadening from the quadratic dependence on energy (54). This dependence can be explained by strong screening effects in Pb systems. The Thomas–Fermi screening length $\lambda_{TF}$ for bulk Pb is equal to 0.52 Å, which is significantly shorter than the Pb(111) interlayer spacing $d = 2.86$ Å. This means that the e-e interaction is screened in a way similar to that in bulk already in films of 1–2 ML thickness and explains why one can expect the energy dependence of the lifetime in Pb films to be similar to that in Pb bulk.

Conclusions and Future Directions

In summary, we have presented new results that illustrate some research lines currently active in the general field of time-dependent electron phenomena at metal surfaces. First, we have shown that the screening of localized charges at surfaces is created locally in the attosecond time scale, while collective excitations are able to transfer the perturbation to larger distances in longer time scales. We have predicted that the elastic width of the resonant excited alkali adsorbates on ferromagnetic surfaces depends on spin orientation. Surprisingly, and due to a more efficient coupling, electrons with minority-spin orientation are faster than those with majority spin in Cs/Fe(110), even if the unoccupied DOS at the resonance energy position is higher for the latter. Finally, we have demonstrated that confinement effects can be used to control the timing of electronic decay at surfaces: The most important channels contributing to the electron lifetimes in ultrathin Pb films oscillate in phase as a function of the thickness in metal ultrathin films, in agreement with experimental measurements.

In addition, there are other topics within the general field of electron dynamics at surfaces in which intense activity is envisioned in the years to come. Among them, let us start by quoting the effects of adsorbates on acoustic surface plasmons (31). Adsorbed species at surfaces can shift the energy of surface states and modify their position relative to the Fermi level. In this way, the excitation channel linked to the acoustic surface plasmon can be switched on (or off), much affecting electron dynamics and the chemical properties of the surface.

In our results, we have focused on metal surfaces, but work on bulked interfaces (57) and semiconductor surfaces (58–60) is equally important. Concerning C-based materials, superatom states of adsorbed fullerenes can lead to the formation of free electron bands (61). Endohedral doping of fullerenes offers then a route to tailor the superatom state properties. Actually, the functionalization of surfaces with molecules and clusters is a rapidly growing field. The switching properties of adsorbed molecules and their capabilities to create interface-specific electronic states are being extensively explored to control charge carrier transport (64).

Promising perspectives have been opened by the application of attosecond streaking techniques to solid surfaces (14). Attosecond spectroscopy could directly observe charge transfer between adsorbates and surfaces or between different species in chemical reactions. Progress in many aspects of surface chemistry currently demands experimental tools capable of simultaneous spatial and temporal resolution when studying dynamical phenomena in the nanometer scale. Interferometric time-resolved photoemission electron microscopy (ITR-PEEM), for instance, is able to explore quantum coherent phenomena on the attosecond time scale (62). Although primarily employed to image plasmonic phenomena at metal-vacuum interfaces (63), ITR-PEEM should in principle be able to achieve nanometer resolution beyond the ultrafast scale. Finally, the interest on spin-dependent electron dynamics is based on its connection to practical applications in spintronics. The success of future devices relying on the electron spin as information carrier depends, among other things, on the possibility of transporting effectively a given spin state within a system. Promising materials to fulfill this goal are topological insulators (65, 66). These materials are characterized by topologically protected surface states that are spin-split due to strong spin-orbit interaction, thus carrying only one electron per momentum (67). Due to spin and linear momentum dependence (Dirac cone) of the surface states, these materials are of particular interest for electron and spin dynamics in molecules with magnetic atoms on the surface. Electron dynamics in these systems should be determined by the strong interplay between charge, spin, and vibrational degrees of freedom.

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